



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unassigned
Examiner: Unassigned

In Re PATENT APPLICATION Of:

Applicant : Tadashi YAMAGUCHI

Serial No. : 10/748,258

Filed : December 31, 2003

For : SEMICONDUCTOR DEVICE AND
METHOD FOR FABRICATING
SEMICONDUCTOR DEVICE

Attorney Ref.: OKI 396

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION

May 4, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e., prior to a First Office Action on the merits.

Attached are copies of two Japanese patent publications, and a Japanese Examiner's Action dated March 16, 2004. The Action was issued in connection with a Japanese counterpart application and discusses these publications. Also attached are an English-language abstract of the publication No. 2001-210782, and an English-language translation of the Japanese Examiner's Action, from which any relevance of the documents may be gleaned. Also listed for consideration is U.S. Patent Application Publication Number US 2001/0026010 A1 dated October 4, 2001. The Japanese publications and the U.S. Patent Application were first cited by the Japanese Patent Office in a counterpart application. The publications are listed on the attached Form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a

Atty Dkt: OKI 396

Since this Information Disclosure Statement is being filed before a first office action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed documents is respectfully requested.

Respectfully submitted,



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May 4, 2004
Date

RHB:vm

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				Atty. Docket OKI 396		Application No. 10/748,258	
				Applicant Tadashi YAMAGUCHI			
				Filing Date December 31, 2003		Group Unassigned	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	US 2001/0026010	09/04/01	HORIUCHI et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	2001-339011	12/07/01	JAPAN			No
	AJ	2001-210782	08/03/01	JAPAN			Abstract
	AK						
	AL						
	AM						
	AN						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AO						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							